

ANNEXURE W

Pre-printed Serial No.						
PLEDGE/HYPOTHECATION FORM						
Smart Equity Brokers Pvt Ltd.						
Dp-Id-IN303948						
F-88, Shivaji Enclave, Opp TDI Paragon Mall Rahouri garden, New Delhi-110027						
Please tick in any one of the boxes as may be applicable						
<input type="checkbox"/> Create the pledge/hypothecation				<input type="checkbox"/> Confirm the creation of pledge /hypothecation		
<input type="checkbox"/> Close the pledge/hypothecation				<input type="checkbox"/> Confirm the closure of pledge /hypothecation		
<input type="checkbox"/> Invoke the pledge/hypothecation				<input type="checkbox"/> Confirm the invocation of hypothecation		
Date				Instruction Type (Pledge/Hypothecation)		
Client Id		Prestamped		Counterparty Pledgor/ Pledgee Client Id		
Client Name				Counterparty Pledgor/ Pledgee Name		
Agreement No (as provided by Pledgee)				Counterparty Pledgor Pledgee DP Id		
Closure date				Counterparty Pledgor/ Pledgee DP Name		
Sr. No.	ISIN	Security Name	Reasons & release date for locked-in securities (if any)	Quantity (Refer Instruction No. 2)	Pledge Order No. (Refer Instruction No. 3)	Reasons for rejections (if any) (To be filled in at the time of confirmation)
Execution Date _____						
						Authorised Signatory (ies)

Instructions:

1. To be submitted in duplicate for acknowledgement.
2. Pledged Quantity, Closure Quantity or the Invoked Quantity to be mentioned as the case may be.
3. Pledge Order No. to be filled in by the client (except at the time of creation of the pledge to be filled in by the Participant).
4. Locked-in securities cannot be invoked before the lock-in release date.
5. Strike out whichever is not applicable.